

Registration

Registration

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Begin Enrollment Process

To gain access to ORS, firms, including Sole Proprietors, Floor Brokers and Floor Traders, will need to establish a security account with NFA and designate a Security Manager. Floor Brokers and Floor Traders may not designate anyone except themselves as the Security Manager. To designate a Security Manager complete an [enrollment form](#).

The [enrollment form](#) should be used to establish a security account with NFA and should not be used to:

- Reset a password; or
- File a Part 4 Exemption; or
- Add an additional Security Manager; or
- Update or replace an existing Security Manager

The enrollment form requests personal information about the individual that has been designated as a Security Manager and should be filled out and submitted only by the Security Manager. After the form has been completed and submitted to NFA the screen will return with a temporary password.

NFA will notify the Security Manager by letter when it approves his/her enrollment request and will provide a User Name for the Security Manager to use along with the temporary password to log into ORS. The first time the Security Manager logs into ORS he/she will be prompted to change his/her password.

If a Security Manager is locked out of ORS or has forgotten his or her password do not file another enrollment form. The Security Manager may reset their own password online by [clicking here to use the Security Manager Password Reset Process](#).

If you would like to file a Part 4 Exemption, [click here](#).

Contact your firm's existing Security Manager if you want to reset your password, add an additional Security Manager or update or replace an existing Security Manager.

If the only Security Manager is unavailable or has left the firm do not file another enrollment form. Fax a letter signed by a listed principal to NFA at (312) 559-3537 naming the new Security Manager. NFA will contact the new Security Manager to assist him/her through the process of accessing ORS.

Quick Links

- [Templates and Forms](#)
- [Available Payment Options](#)
- [ORS Overview](#)
- [Exempt Foreign Firm Notices](#)
- [ORS User Guide](#)
- [ORS Tutorial](#)
- [FAQs](#)

Featured Information

For additional guidelines on using NFA's Online Registration System, watch the webinar entitled "[Fulfilling Your Regulatory Responsibilities More Efficiently with NFA's Online Registration System, EasyFile and Other Electronic Filing Systems.](#)"

Special Messages

NFA's Information Center is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CST to answer your registration and ORS questions. Call (312) 781-1410 or toll-free at (800) 621-3570. You may also email inquiries to information@nfa.futures.org.

Online Registration System

[Login to ORS](#)

[Forgot Password?](#) [Enroll Now](#)

Electronic Filings

NFA Members can file many of their required documents electronically.

[Submit a Filing](#)

Check Broker / Firm Information (BASIC)

You can check the registration status and disciplinary history of any futures firm or individual.

[Begin Search](#)

NFA is the premier independent provider of efficient and innovative regulatory programs that safeguard the integrity of the futures markets.

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Enrollment Form

Enter registration information and click Submit.

Enter the full legal name that appears on the firm's corporate filings.

Firm Name*

NFA ID (if known)

Security Manager Information

First Name*

Last Name*

P.O. Box address is not acceptable.

Street Address 1*

Street Address 2

City**

State* (United States Only)

Province

Zip/Postal Code**

Country*

E-mail Address

Phone Number*

Fax Number

Social Security Number

Date of Birth*

Applicant Main Office Address

Same as Security Manager

P.O. Box address is not acceptable.

Street Address 1 *

Street Address 2

Street Address 3

City**

State* (United States Only)

Province

Zip/Postal Code* *

Country*

User Identification

The following information is required and will be used to verify your identity should your password need to be reset.

Mother's Maiden Name*

Enter a question and corresponding answer in the fields below. You may use the sample question provided below or change it to something different.

Question*

Answer*

* Required to submit enrollment form.

** Required to submit enrollment form for United States address.



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Online Registration System Overview

National Futures Association's Online Registration System ("ORS") is NFA's web-based registration and membership filing and processing system. Except for a limited number of forms, NFA no longer accepts hardcopy versions of registration and membership forms, all of which must be electronically filed using ORS.

- Forms which must be [mailed to NFA](#):
- Applications for registration as an agricultural trade option merchant ([ATM Form 7-R](#)) and their affiliated individuals ([ATM Form 8-R](#));
- [Notice Form 7-R](#) for Broker-Dealers that are eligible for notice registration as an FCM or IB;
- [Notice Form BD-N](#) for FCMs and IBs that are eligible for notice registration as Broker-Dealers; and
- Floor Broker and Floor Trader registration withdrawal requests ([Form 8-W](#)).
- Petition for Confirmation of Exemption from Registration under CFTC Rule 30.10

System Requirements

ORS requires a currently supported version of Microsoft Internet Explorer or Firefox. NFA recommends keeping browser levels and maintenance current as an overall best practice. Access to confidential data in ORS is secured using 128 bit Secure Sockets Layer (SSL). ORS also requires cookies and Scripting of Java Applets to be enabled. Contact your network administrator, use the Help function or visit the web sites listed below to determine if your system can support these requirements.

Internet Explorer updates are available at:

<http://www.microsoft.com/windows/ie>

Firefox updates are available at:

<http://www.mozilla.com/en-US/>

Availability

ORS will not be available for use from 8:00 p.m. to 9:00 p.m. Central Time from Monday through Friday. The system may also occasionally be unavailable at other times due to system maintenance.

ORS Help

ORS contains an online tutorial that provides step-by-step instructions for the most common registration tasks, such as completing a registration application, changing registration information, requesting withdrawal of a firm's registration and notifying NFA of an individual's termination.

In addition, NFA's Information Center is available Monday through Friday from 8:00 a.m. to 5:00 p.m. CST to answer your registration and ORS questions. Call (312) 781-1410 or toll-free at (800) 621-3570. You may also email inquiries to information@nfa.futures.org.

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Industry Professionals

Regulation **Compliance** Education Enforcement

Industry Professionals > Compliance > Continuing Education

Compliance

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Test Center Locations

To get a list of test centers in your area please select the state or foreign location closest to you from the list below. A listing of [recent or upcoming changes](#) to the test center locations list is also available.

Select a choice below:

[US Locations](#)

[Foreign Locations](#)

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Champaign, IL

Center Name: Prometric Testing Center #5181

Address: 610 E. John Street
Fred H. Turner Student Services Building,
Champaign, IL 61820

Center Phone: 217-333-3706

In-Country Toll Free Scheduling: 800-578-6273

[Click here to register online](#)

Center Hours: Individual center hours vary. For specific information regarding center hours, please contact the local center or the National Call Center at 800-578-6273.

Directions: [View Map](#)

Chicago, IL

Center Name: Pearson Professional Centers #7231

Address: 1 N. La Salle Street
Suite 1250,
Chicago, IL 60602

Center Phone: 312-422-8621

In-Country Toll Free Scheduling: 1-866-396-6273

[Click here to register online](#)

Center Hours: Individual center hours vary. For specific information regarding center hours, please contact the Pearson VUE Registration Center at 1-866-396-6273 or 1-952-681-3873.

Directions: [View Map](#)

Center Note: A separate Special Accommodations Room is available at this site.

Chicago, IL

Center Name: Prometric Testing Center #5470

Address: 20 N. Clark Street
Suite 1600,
Chicago, IL 60602

Center Phone: 312-641-7301

In-Country Toll Free Scheduling: (800) 578-6273

[Click here to register online](#)

Center Hours: Individual center hours vary. For specific information regarding center hours, please contact the local center or the National Call Center at 800-578-6273.

Directions: [View Map](#)

Center Note: A separate Special Accommodations testing room is available at this center.

Chicago, IL

Center Name: Pearson Professional Centers #7325

Address: 200 West Adams Street
SUITE 1105,
Chicago, IL 60606

Center Phone: 1-866-396-6273

In-Country Toll Free Scheduling: 1-866-396-6273

[Click here to register online](#)

Center Hours: Individual center hours vary. For specific information regarding center hours, please contact the Pearson VUE Registration Center at 1-866-396-6273 or 1-952-681-3873.

Directions: [View Map](#)

Center Note: A separate Special Accommodations Room is available at this site

Deerfield, IL

Center Name: Prometric Testing Center #5132

Address: 770 Lake Cook Road
Suite 200,
Deerfield, IL 60015

Center Phone: 847-572-0550

In-Country Toll Free Scheduling: 800-578-6273

[Click here to register online](#)

Center Hours: Individual center hours vary. For specific information regarding center hours, please contact the local center or the National Call Center at 800-578-6273.

Directions: [View Map](#)

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